

## DKE GOLD TRADING FZC

### Seller (Miner or Broker) / Client / Information Sheet (CIS) – KYC (Know Your Client)

**Sellers and clients must complete ALL relevant sections that apply to them in this form and send them via email together with all the documents that are requested, and only direct (and not via any Intermediary) to [info@dkegoldfzc.com](mailto:info@dkegoldfzc.com) to be able to process it.**

This CIS or KYC will become part of your contract. Our time is as valuable as yours, so please, only serious applicants who know that they need to pass all due diligence and compliance from our Legal Department, our Bank, our Security Company, and most importantly from all fully legal licensed DGD Refineries who would never proceed with refining any gold without all legal documentation in place, etc. that will all need to contact the Seller's country local authorities, UN offices and Interpol to complete their due diligence, to be able to do any transactions with us. Unfortunately, in our business (gold and diamonds) there are over 99 scammers for each real Seller, so clearing due diligence and compliance is a must to proceed. Thank you for your understanding and we are 100% certain that legitimate parties have nothing to be concerned about.

#### PRINCIPAL'S INFORMATION

B1.	Principal Name	
B2.	Nationality	
B3.	Passport # <i>(Please attach a clear color copy)</i>	
B4.	Expiration Date	
B5.	City, Country of Issue	
B6.	Date Of Birth	
B7.	City, Country of Birth	
B8.	Permanent Address	
B9.	Primary Phone Number	
B10.	Cell Number	
B11.	Fax Number	
B12.	E-mail Address	

#### REFERRING INTERMEDIARY (BROKER / AGENT / MANDATE) [IF APPLICABLE]

**The following person is recognized as our Referring Seller's Intermediary:**

F1.	Referring Intermediary Name	
F2.	Intermediary's Color Copy of Passport with Photo and Signature	Please scan/email
F3.	Referring Intermediary Email, Cell & Phone #	

**The following person is recognized as our Referring Buyer's Intermediary:**

G1.	Referring Intermediary Name	
G2.	Intermediary's Color Copy of Passport with Photo and Signature	Please scan/email
G3.	Referring Intermediary Email & Phone #	

**Individual Gold Seller Applicants:** Individual applicants must supply the following:

*(Please tick the appropriate box below indicating that you are attaching the relative document)*

J1.	<input type="checkbox"/>	Color Copy of Passport with the photo and the signature page
J2.	<input type="checkbox"/>	Address of Residence with two Utility Bills (optional)
J3.	<input type="checkbox"/>	National ID and Drivers License (if applicable)
J4.	<input type="checkbox"/>	Copy of Dealer License (in Countries where applicable and legally required)

**Sanctioned Countries:**

Banks will be unable to process a transaction that involves a breach of sanctions, and authorities may require the disclosure of information. The bank is not liable if the Seller, or any other person, fails or delays to perform the transaction, or discloses information as a result of actual or apparent breach of such sanctions. Shipments, trans-shipments, or transit to, from, or through Iran, Cuba, North Korea, Sudan, and Syria is prohibited, as well as any party which is sanctioned by the United Nations, United States or the European Union, or the United Kingdom, is to be involved in the transaction in any manner. The Banks may not complete a transaction that involves such a party, or any party in the above countries.

**ACCURACY AND AUTHORIZATION TO VERIFY INFORMATION**

I represent and warrant, under penalty of perjury, that the information provided is true, accurate, complete, and not misleading. Further, I warrant that I have the legal authority to sign on behalf of myself and/or the company referred herein. I agree to notify the Buyer if the information which has been supplied changes in any manner. I also give my full consent and authority to Dke Gold Trading FZC and all its representatives (Lawyers, Compliance Officers, Banks, etc.) to verify the information provided by contacting all relative Governmental Authorities, Banks, etc. in order to perform a full Due Diligence and Compliance check.

Signature & Company Stamp

Name of Authorized Signatory	
Title	
Date	

**Warning**

*Please be advised that as of 1st January 2010, we adhere to ICC measures with respect to the conduct of international transactions. If an ICPO is issued and the document is not honored by either the buyer or the seller, and or is issued fraudulently, we will take the appropriate legal action. The offending party will be reported to the FBI, ICC, and INTERPOL. This action is a criminal offense. Help to keep our industry free from controversy and assist in removing those who flout its laws.*

*This regulation came into effect on 15th November 2008 following a meeting between the US Federal Reserve, European Central Bank, Interpol, US Federal Bureau of Investigation, and the US Central Intelligence Agency.*

**US PATRIOT ACT CERTIFICATION**

**All information requested is in accordance with International Money Laundering Regulations and the US Patriot Act** In compliance with the *Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism (US Patriot Act of 2001)* and other counter-terrorism laws. Client hereby certifies under penalty of perjury the following:

- A. That all monies tendered or to be deposited in escrow by or on behalf of Client are from a source that is following all applicable United States of America anti-terrorist financing and asset control laws, statutes, and executive orders, and
- B. All monies received by or on behalf of Client will be used by Client strictly in compliance with all applicable United States of America anti-terrorist and asset control laws, statutes, and executive orders.

M1.	<input type="checkbox"/>	I accept all above warnings and procedures
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***If you have any questions about this document, please do not hesitate to contact us preferably via email***

Email: [info@dkegoldfzc.com](mailto:info@dkegoldfzc.com)

[www.dkegoldfzc.com](http://www.dkegoldfzc.com)